IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR

	In re U.S. Patent Application of
OIPE	SAWAGUCHI et al.
OCT 2 2 2001 25	Application Number: 09/940,475
PER TRADEMARK	Filed: August 29, 2001
	For: Apparatus, Signal-Processing Circuit and Device for Magnetic Recording System

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

LETTER

Sir:

The below-identified communications are submitted in the above-captioned application or proceeding:

(X) 16 pages of drawings (in English)

() Request for Priority (X) Response to Missing Parts () Assignment Document

w/ English translation of Spec

(X) Check for \$ 130.00

The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. § 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 08-1480**. A duplicate copy of this sheet is attached.

Respectfully submitted,

Startley P. Fisher

Registration Number 24,344

REED SMITH HAZEL & THOMAS LLP,

3110 Fairview Park Drive

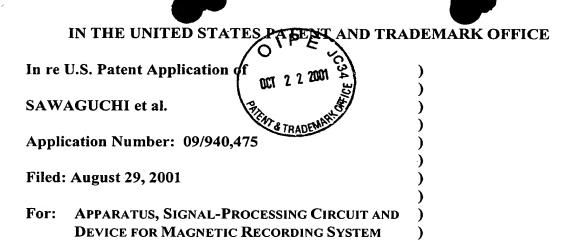
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Falls Church, Virginia 22042

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October 22, 2001

JUAN CARLOS A. MARQUEZ Registration No. 34,072



Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This is in response to the Notice of Missing Parts dated October 5, 2001, of the Initial Patent Examination Division of the Patent and Trademark Office, the period for response to which is set to expire on December 16, 2001. Submitted herewith is the verified English translation of the specification and the drawings for the above-captioned application.

The fee of \$130.00 is enclosed. Please direct any inquiries in connection with this application to the undersigned.

Respectfully submitted,

Stanley P. Fisher

Registration Number 24,344

JUAN CARLOS A. MARQUEZ

Registration No. 34,072

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3110 Fairview Park Drive Suite 1400 Falls Church, Virginia 22042

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October 22, 2001





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUME

NG/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

TRADEMAS 09/940,475

08/29/2001

Hideki Sawaguchi

ASAM.0019

CONFIRMATION NO. 4883

Date Mailed: 10/05/2001

FORMALITIES LETTER

OC000000006861431*

Stanley P. Fisher Reed Smith Hazel & Thomas LLP **Suite 1400** 3110 Fairview Park Drive Falls Church, VA 22042-4503

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/14/2001 TV0111

0000006 09940475

FILED UNDER 37 CFR 1.53(b)

01 FC:139

130.00 GP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 130.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

Adjustment date: 11/14/2001 TV0111 10/23/2001 SFELEKE1 00000045 09940475

01-FC:105 130.00 BP

10/23/2001 SFELEKE1 00000045 09940475 A copy of this notice MUST be returned with the reply.

01 FC:105

130,00 OP __

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E6044

Declaration

I, Sekizo HAYASHI, a national of Japan, c/o Asamura Patent Office of 331-340, New Ohtemachi Building, 2-1, Ohtemachi-2-chome, Chiyoda-ku, Tokyo, Japan do hereby solemnly and sincerely declare:-

- 1) THAT I am well acquainted with the Japanese language and English language, and
- 2) THAT the attached is a full, true, accurate and faithful translation into the English language made by me of Japanese Text of the U.S. Serial No. 09/940,475 filed on August 29, 2001.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001, of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date of Sign: October 10, 2001

Sekizo HAYASHI

Patent Attorney